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Cybersecurity Framework Profile for Ransomware Risk Management

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Cybersecurity Framework Profile for Ransomware Risk Management

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18 <https://csrc.nist.gov/publications>.

19 **Public comment period: *September 8, 2021 through October 8, 2021***

20 National Institute of Standards and Technology
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22 100 Bureau Drive (Mail Stop 2000) Gaithersburg, MD 20899-2000
23 Email: ransomware@nist.gov

24 All comments are subject to release under the Freedom of Information Act (FOIA).

25 **Reports on Computer Systems Technology**

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32 the cost-effective security and privacy of other than national security-related information in federal
33 information systems.

34 **Abstract**

35 Ransomware is a type of malicious attack where attackers encrypt an organization's data and
36 demand payment to restore access. In some instances, attackers may also steal an organization's
37 information and demand an additional payment in return for not disclosing the information to
38 authorities, competitors, or the public. This Ransomware Profile identifies the Cybersecurity
39 Framework Version 1.1 security objectives that support preventing, responding to, and recovering
40 from ransomware events. The profile can be used as a guide to managing the risk of ransomware
41 events. That includes helping to gauge an organization's level of readiness to counter ransomware
42 threats and to deal with the potential consequences of events.

43 **Keywords**

44 Cybersecurity Framework; detect; identify; protect; ransomware; recover; respond; risk; security.

45 **Acknowledgments**

46 The authors wish to thank all individuals and organizations that contributed to the creation of this
47 document.

48

49

Call for Patent Claims

50 This public review includes a call for information on essential patent claims (claims whose use
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52 Technology Laboratory (ITL) draft publication). Such guidance and/or requirements may be
53 directly stated in this ITL Publication or by reference to another publication. This call also
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73 on its behalf) will include in any documents transferring ownership of patents subject to the
74 assurance, provisions sufficient to ensure that the commitments in the assurance are binding on
75 the transferee, and that the transferee will similarly include appropriate provisions in the event of
76 future transfers with the goal of binding each successor-in-interest.

77

78 The assurance shall also indicate that it is intended to be binding on successors-in-interest
79 regardless of whether such provisions are included in the relevant transfer documents.

80

81 Such statements should be addressed to: ransomware@nist.gov

82

83 **Table of Contents**

84 **1 Introduction 1**

85 1.1 The Ransomware Challenge 1

86 1.2 Audience 2

87 1.3 Additional Resources 3

88 **2 The Ransomware Profile 5**

89 **References 20**

90

91 1 Introduction

92 The Ransomware Profile defined in this report maps security objectives from the Framework for
93 Improving Critical Infrastructure Cybersecurity, Version 1.1 [1] (also known as the
94 Cybersecurity Framework) to security capabilities and measures that support preventing,
95 responding to, and recovering from ransomware events. The profile can be used as a guide to
96 managing the risk of ransomware events. That includes helping to gauge an organization's level
97 of readiness to mitigate ransomware threats and to react to the potential impact of events. The
98 profile can also be used to identify opportunities for improving cybersecurity to help thwart
99 ransomware.

100 1.1 The Ransomware Challenge

101 Ransomware is a type of malware that encrypts an organization's data and demands payment as
102 a condition of restoring access to that data. In some instances, ransomware may also steal an
103 organization's information and demand an additional payment in return for not disclosing the
104 information to authorities, competitors, or the public. Ransomware attacks target the
105 organization's data or critical infrastructure, disrupting or halting operations and posing a
106 dilemma for management: pay the ransom and hope that the attackers keep their word about
107 restoring access and not disclosing data, or do not pay the ransom and restore operations
108 themselves. The methods ransomware uses to gain access to an organization's information and
109 systems are common to cyberattacks more broadly, but they are aimed at forcing a ransom to be
110 paid. Techniques used to promulgate ransomware will continue to change as attackers constantly
111 look for new ways to increase pressure on their victims.

112 Fortunately, organizations can follow recommended steps to prepare for and reduce the potential
113 for successful ransomware attacks. This includes identifying and protecting critical data,
114 systems, and devices; detecting ransomware events as early as possible (preferably before the
115 ransomware is deployed); and preparing for responses to and recovery from any ransomware
116 events that do occur. There are many resources available to assist organizations in these efforts.
117 They include information from the [National Institute of Standards and Technology \(NIST\)](#), the
118 [Federal Bureau of Investigation \(FBI\)](#), and the [Department of Homeland Security \(DHS\)](#).

119 The security capabilities and measures in this profile support a detailed approach to preventing
120 and mitigating ransomware events. Even without undertaking all of these measures, there are
121 some basic preventative steps that an organization can take now to protect against the
122 ransomware threat. These include:

- 123 • **Use antivirus software at all times.** Set your software to automatically scan emails and
124 flash drives.
- 125 • **Keep computers fully patched.** Run scheduled checks to identify available patches, and
126 install these as soon as feasible.
- 127 • **Segment networks.** Segment internal networks to prevent malware from proliferating
128 among potential target systems.
- 129 • **Continuously monitor** directory services (and other primary user stores) for indicators
130 of compromise or active attack.

- 131 • **Block access to potentially malicious web resources.** Use products or services that
132 block access to server names, IP addresses, or ports and protocols that are known to be
133 malicious or suspected to be indicators of malicious system activity.
- 134 • **Allow only authorized apps.** Configure operating systems and/or third-party software to
135 run only authorized applications. Establish processes for reviewing, then adding or
136 removing authorized applications on an allowlist.
- 137 • **Use standard user accounts** versus accounts with administrative privileges whenever
138 possible.
- 139 • **Restrict personally owned devices** on work networks.
- 140 • **Avoid using personal apps**—like email, chat, and social media—from work computers.
- 141 • **Educate employees about social engineering.** Don't open files or click on links from
142 unknown sources unless you first run an antivirus scan or look at links carefully.
- 143 • **Assign and manage credential authorization** for all enterprise assets and software, and
144 periodically verify that each account has the appropriate access only.

145 Steps that organizations can take now to help recover from a future ransomware event include:

- 146 • **Make an incident recovery plan.** Develop and implement an incident recovery plan
147 with defined roles and strategies for decision making. This can be part of a continuity of
148 operations plan. The plan should identify business-critical services to enable recovery
149 prioritization, and business continuity plans for those critical services.
- 150 • **Backup data, secure backups, and test restoration.** Carefully plan, implement, and test
151 a data backup and restoration strategy—and secure and isolate backups of important data.
- 152 • **Keep your contacts.** Maintain an up-to-date list of internal and external contacts for
153 ransomware attacks, including law enforcement.

154 1.2 Audience

155 The Ransomware Profile is intended for a general audience and is broadly applicable to
156 organizations that:

- 157 • have already adopted the NIST Cybersecurity Framework to help identify, assess, and
158 manage cybersecurity risks;
- 159 • are familiar with the Cybersecurity Framework and want to improve their risk postures;
160 or
- 161 • are unfamiliar with the Cybersecurity Framework but need to implement risk
162 management frameworks to meet ransomware threats.

163 Organizations such as small to medium-sized businesses (SMBs) and operators of industrial
164 control systems (ICS) or operational technologies (OT) may also leverage this guidance and the
165 Cybersecurity Framework.

166 1.3 Additional Resources

167 NIST's National Cybersecurity Center of Excellence (NCCoE) has produced additional reference
168 materials intended to support ransomware threat mitigation. These references include:

- 169 • [NIST Special Publication \(SP\) 1800-26, *Data Integrity: Detecting and Responding to*](#)
170 [Ransomware and Other Destructive Events](#) addresses how an organization can handle an
171 attack when it occurs, and what capabilities it needs to have in place to detect and
172 respond to destructive events.
- 173 • [NIST SP 1800-25, *Data Integrity: Identifying and Protecting Assets Against*](#)
174 [Ransomware and Other Destructive Events](#) addresses how an organization can work
175 before an attack to identify its assets and potential vulnerabilities and remedy the
176 discovered vulnerabilities to protect these assets.
- 177 • [NIST SP 1800-11, *Data Integrity: Recovering from Ransomware and Other Destructive*](#)
178 [Events](#) addresses approaches for recovery should a data integrity attack be successful.
- 179 • [Protecting Data from Ransomware and Other Data Loss Events](#) is a guide for managed
180 service providers to conduct, maintain, and test backup files that are critical to recovering
181 from ransomware attacks.

182 NIST has many other resources that, while not ransomware-specific, contain valuable
183 information about preventing, preparing for, detecting, and responding and recovering from
184 ransomware events. Several of these resources are highlighted below. For the complete list of
185 resources, visit NIST's Ransomware Protection and Response site at
186 <https://csrc.nist.gov/ransomware>.

- 187 • Improving the security of **telework**, **remote access**, and **bring-your-own-device**
188 **(BYOD)** technologies:
 - 189 ○ [Telework: Working Anytime, Anywhere project](#)
 - 190 ○ [NIST SP 800-46 Revision 2, *Guide to Enterprise Telework, Remote Access, and*](#)
191 [Bring Your Own Device \(BYOD\) Security](#)
- 192 • **Patching software** to eliminate vulnerabilities:
 - 193 ○ [NIST SP 800-40 Revision 3, *Guide to Enterprise Patch Management*](#)
194 [Technologies](#)
 - 195 ○ [Critical Cybersecurity Hygiene: Patching the Enterprise project](#)
- 196 • **Using application control technology** to prevent ransomware execution:
 - 197 ○ [NIST SP 800-167, *Guide to Application Whitelisting*](#)
- 198 • Finding low-level guidance on **securely configuring software** to eliminate
199 vulnerabilities:
 - 200 ○ [National Checklist Program](#)
- 201 • Getting the latest **information on known vulnerabilities**:
 - 202 ○ [National Vulnerability Database](#)

- 203 • **Planning for** cybersecurity event **recovery**:
- 204 ○ [NIST SP 800-184, *Guide for Cybersecurity Event Recovery*](#)
- 205 • **Contingency planning for restoring operations** after a disruption caused by
- 206 ransomware:
- 207 ○ [NIST SP 800-34 Revision 1, *Contingency Planning Guide for Federal*](#)
- 208 [*Information Systems*](#)
- 209 • **Handling ransomware** and other malware **incidents**:
- 210 ○ [NIST SP 800-83 Revision 1, *Guide to Malware Incident Prevention and Handling*](#)
- 211 [*for Desktops and Laptops*](#)
- 212 • **Handling** cybersecurity **incidents** in general:
- 213 ○ [NIST SP 800-61 Revision 2, *Computer Security Incident Handling Guide*](#)

214 **2 The Ransomware Profile**

215 The Ransomware Profile aligns organizations' ransomware prevention and mitigation
216 requirements, objectives, risk appetite, and resources with the elements of the Cybersecurity
217 Framework. The purpose of the profile is to help organizations identify and prioritize
218 opportunities for improving their security and resilience against ransomware attacks.
219 Organizations can use this document as a guide for profiling the state of their own readiness. For
220 example, they can determine their current state and set a target profile to identify gaps to achieve
221 their goal.

222 Table 1 defines the Ransomware Profile. The first two columns of the table list the relevant
223 Categories and Subcategories from the Cybersecurity Framework. The third column briefly
224 explains how each of the listed Subcategories supports preventing, responding to, and recovering
225 from ransomware events.

226 The second column of Table 1 also cites relevant requirements from two of the informative
227 references included in the Cybersecurity Framework: International Organization for
228 Standardization/International Electrotechnical Commission (ISO/IEC) 27001:2013, *Information*
229 *technology—Security techniques—Information security management systems—Requirements* [2]
230 and NIST SP 800-53 Revision 5, *Security and Privacy Controls for Information Systems and*
231 *Organizations* [3]. Additional informative references may be included in subsequent versions of
232 this report.

233 The Cybersecurity Framework lists additional Informative References for each Subcategory.
234 Informative References are specific sections of standards, guidelines, and practices common
235 among critical infrastructure sectors that illustrate a method to achieve the outcomes associated
236 with each subcategory. The Informative References in the Cybersecurity Framework are
237 illustrative and not exhaustive. They are based upon cross-sector guidance most frequently
238 referenced during the Framework development process.

239 The five Cybersecurity Framework Functions that are used to organize the Categories are:

- 240 • **Identify** – Develop an organizational understanding to manage cybersecurity risk to
241 systems, people, assets, data, and capabilities. The activities in the Identify Function are
242 foundational for effective use of the Framework. Understanding the business context, the
243 resources that support critical functions, and the related cybersecurity risks enables an
244 organization to focus and prioritize its efforts, consistent with its risk management
245 strategy and business needs.
- 246 • **Protect** – Develop and implement appropriate safeguards to ensure delivery of critical
247 services. The Protect Function supports the ability to limit or contain the impact of a
248 potential cybersecurity event.
- 249 • **Detect** – Develop and implement appropriate activities to identify the occurrence of a
250 cybersecurity event. The Detect Function enables timely discovery of cybersecurity
251 events.

- 252 • **Respond** – Develop and implement appropriate activities to take action regarding a
253 detected cybersecurity incident. The Respond Function supports the ability to contain the
254 impact of a potential cybersecurity incident.
- 255 • **Recover** – Develop and implement appropriate activities to maintain plans for resilience
256 and to restore any capabilities or services that were impaired due to a cybersecurity
257 incident. The Recover Function supports timely recovery to normal operations to reduce
258 the impact from a cybersecurity incident.

259

Table 1: Ransomware Profile

Category	Subcategory and Selected Informative References	Ransomware Application
Identify		
<p>Asset Management (ID.AM): The data, personnel, devices, systems, and facilities that enable the organization to achieve business purposes are identified and managed consistent with their relative importance to organizational objectives and the organization’s risk strategy.</p>	<p>ID.AM-1: Physical devices and systems within the organization are inventoried</p> <p>ISO/IEC 27001:2013 A.8.1.1, A.8.1.2</p> <p>NIST SP 800-53 Rev. 5 CM-8, PM-5</p>	<p>An inventory of physical devices should be undertaken, reviewed, and maintained to ensure there is no unprotected vector for a ransomware attack. It is also appropriate to have a hardware inventory during the recovery phases after a ransomware attack, should a re-installation of applications be necessary.</p>
	<p>ID.AM-2: Software platforms and applications within the organization are inventoried</p> <p>ISO/IEC 27001:2013 A.8.1.1, A.8.1.2</p> <p>NIST SP 800-53 Rev. 5 CM-8, PM-5</p>	<p>Software inventories may track information such as software name and version, devices where it’s currently installed, last patch date, and current known vulnerabilities. This information supports the remediation of vulnerabilities that could be exploited in ransomware attacks.</p>
	<p>ID.AM-3: Organizational communication and data flows are mapped</p> <p>ISO/IEC 27001:2013 A.13.2.1, A.13.2.2</p> <p>NIST SP 800-53 Rev. 5 AC-4, CA-3, CA-9, PL-8</p>	<p>This helps to enumerate what information or processes are at risk, should the attackers move laterally within an environment.</p>
	<p>ID.AM-4: External information systems are catalogued</p> <p>ISO/IEC 27001:2013 A.11.2.6</p> <p>NIST SP 800-53 Rev. 5 AC-20, SA-9</p>	<p>This is important for planning communications to partners and possible actions to temporarily disconnect from external systems in response to ransomware events. Identifying these connections will also help organizations plan security control implementation and identify areas where controls may be shared with third parties.</p>

Category	Subcategory and Selected Informative References	Ransomware Application
	<p>ID.AM-5: Resources (e.g., hardware, devices, data, time, personnel, and software) are prioritized based on their classification, criticality, and business value</p> <p>ISO/IEC 27001:2013 A.8.2.1</p> <p>NIST SP 800-53 Rev. 5 CP-2, RA-2, RA-9, SC-6</p>	<p>This is essential to understanding the true scope and impact of ransomware events, and is an important factor in contingency planning for future ransomware events, emergency responses, and recovery actions. This helps operations and incident responders with prioritizing resources. This supports contingency planning for future ransomware events, emergency responses, and recovery actions. If there is an associated industrial control system (ICS), then its critical functions should be included in emergency responses and recovery actions.</p>
	<p>ID.AM-6: Cybersecurity roles and responsibilities for the entire workforce and third-party stakeholders (e.g., suppliers, customers, partners) are established</p> <p>ISO/IEC 27001:2013 A.6.1.1</p> <p>NIST SP 800-53 Rev. 5 CP-2, PM-11, PS-7</p>	<p>It’s important that everyone in the organization understand their roles and responsibilities for preventing ransomware events and, if applicable, also for responding to and recovering from ransomware events. These roles and responsibilities should be formally documented in an incident response/recovery plan.</p>
<p>Business Environment (ID.BE): The organization’s mission, objectives, stakeholders, and activities are understood and prioritized; this information is used to inform cybersecurity roles, responsibilities, and risk management decisions.</p>	<p>ID.BE-2: The organization’s place in critical infrastructure and its industry sector is identified and communicated</p> <p>ISO/IEC 27001:2013 Clause 4.1</p> <p>NIST SP 800-53 Rev. 5 PM-8</p>	<p>Allows national computer security incident response teams to better understand the targeted organization’s place in the critical infrastructure environment, in order to react timely in case of cross-sector impacts. This also encourages the organization itself and its external stakeholders to consider downstream effects from the ransomware attack.</p>
	<p>ID.BE-3: Priorities for organizational mission, objectives, and activities are established and communicated</p> <p>NIST SP 800-53 Rev. 5 PM-11, SA-14</p>	<p>This helps operations and incident responders with prioritizing resources. This supports contingency planning for future ransomware events, emergency responses, and recovery actions.</p>

Category	Subcategory and Selected Informative References	Ransomware Application
	<p>ID.BE-4: Dependencies and critical functions for delivery of critical services are established</p> <p>ISO/IEC 27001:2013 A.11.2.2, A.11.2.3, A.12.1.3</p> <p>NIST SP 800-53 Rev. 5 CP-8, PE-9, PE-11, PM-8, SA-20</p>	<p>This helps with identifying secondary and tertiary components that are critical in supporting the organization’s core business functions. This is needed to prioritize contingency plans for future events and emergency responses to ransomware events. If there is an associated industrial control system (ICS), then its critical functions should be included in emergency responses and recovery actions.</p>
<p>Governance (ID.GV): The policies, procedures, and processes to manage and monitor the organization’s regulatory, legal, risk, environmental, and operational requirements are understood and inform the management of cybersecurity risk.</p>	<p>ID.GV-1: Organizational cybersecurity policy is established and communicated</p> <p>ISO/IEC 27001:2013 A.5.1.1</p> <p>NIST SP 800-53 Rev. 5 AC-01, AU-01, CA-01, CM-01, CP-01, IA-01, IR-01, PE-01, PL-01, PM-01, RA-01, SA-01, SC-01, SI-01</p>	<p>Establishing and communicating policies needed to prevent or mitigate ransomware events is essential and fundamental to all other prevention and mitigation activities.</p>
	<p>ID.GV-3: Legal and regulatory requirements regarding cybersecurity, including privacy and civil liberties obligations, are understood and managed</p> <p>ISO/IEC 27001:2013 A.18.1.1, A.18.1.2, A.18.1.3, A.18.1.4, A.18.1.5</p> <p>NIST SP 800-53 Rev. 5 CA-07, RA-02</p>	<p>This is necessary for cybersecurity policy development and for establishing priorities in contingency planning for responses to future ransomware events.</p>
	<p>ID.GV-4: Governance and risk management processes address cybersecurity risks</p> <p>ISO/IEC 27001:2013 Clause 6</p> <p>NIST SP 800-53 Rev. 5 PM-3, PM-7, PM-9, PM-10, PM-11, SA-2</p>	<p>Ransomware risks must be factored into organizational risk management governance in order to establish adequate cybersecurity policies.</p>
<p>Risk Assessment (ID.RA): The organization understands the cybersecurity risk to organizational operations (including mission, functions, image, or reputation), organizational assets, and individuals.</p>	<p>ID.RA-1: Asset vulnerabilities are identified and documented</p> <p>ISO/IEC 27001:2013 A.12.6.1, A.18.2.3</p> <p>NIST SP 800-53 Rev. 5 CA-2, CA-7, CA-8, RA-3, RA-5, SA-5, SA-11, SI-2, SI-4, SI-5</p>	<p>Identifying and documenting the vulnerabilities of the organization’s assets supports developing plans for and prioritizing the mitigation or elimination of those vulnerabilities, as well as contingency planning for evaluating and responding to future ransomware events. This will reduce the likelihood of a ransomware outbreak.</p>

Category	Subcategory and Selected Informative References	Ransomware Application
	<p>ID.RA-2: Cyber threat intelligence is received from information sharing forums and sources</p> <p>ISO/IEC 27001:2013 A.6.1.4</p> <p>NIST SP 800-53 Rev. 5 PM-15, PM-16, SI-5</p>	<p>The ability to receive cyber threat intelligence from information sharing sources can reduce the exposure to ransomware attacks and can facilitate early detection of new threats.</p>
	<p>ID.RA-4: Potential business impacts and likelihoods are identified</p> <p>ISO/IEC 27001:2013 A.16.1.6, Clause 6.1.2</p> <p>NIST SP 800-53 Rev. 5 PM-9, PM-11, RA-2, RA-3, SA-20</p>	<p>Understanding the business impacts of potential ransomware events is needed to support cybersecurity cost-benefit analyses as well to establish priorities for activities included in ransomware contingency plans for response and recovery. Understanding the potential business impacts also supports emergency response decisions in the event of a ransomware attack.</p>
	<p>ID.RA-6: Risk responses are identified and prioritized</p> <p>ISO/IEC 27001:2013 Clause 6.1.3</p> <p>NIST SP 800-53 Rev. 5 PM-4, PM-9</p>	<p>The expense associated with response to and recovery from ransomware events is materially affected by the effectiveness of contingency planning of responses to projected risks.</p>
<p>Risk Management Strategy (ID.RM): The organization’s priorities, constraints, risk tolerances, and assumptions are established and used to support operational risk decisions.</p>	<p>ID.RM-1: Risk management processes are established, managed, and agreed to by organizational stakeholders</p> <p>ISO/IEC 27001:2013 Clause 6.1.3, Clause 8.3, Clause 9.3</p> <p>NIST SP 800-53 Rev. 5 PM-4, PM-9</p>	<p>Establishing and enforcing organizational policies, roles, and responsibilities is dependent on stakeholders agreeing to and managing effective risk management processes. The processes should take into consideration the risk of a ransomware event.</p>
<p>Supply Chain Risk Management (ID.SC): The organization’s priorities, constraints, risk tolerances, and assumptions are established and used to support risk decisions associated with managing supply chain risk. The organization has established and implemented the processes to identify, assess and manage supply chain risks.</p>	<p>ID.SC-5: Response and recovery planning and testing are conducted with suppliers and third-party providers</p> <p>ISO/IEC 27001:2013 A.17.1.3</p> <p>NIST SP 800-53 Rev. 5 CP-2, CP-4, IR-3, IR-4, IR-6, IR-8, IR-9</p>	<p>Ransomware contingency planning should be coordinated with suppliers and third-party providers, and planning should include provisions for testing planned activities. The plan should include a scenario where suppliers and third-party providers are impacted by ransomware.</p>

Category	Subcategory and Selected Informative References	Ransomware Application
Protect		
<p>Identity Management, Authentication and Access Control (PR.AC): Access to physical and logical assets and associated facilities is limited to authorized users, processes, and devices, and is managed consistent with the assessed risk of unauthorized access to authorized activities and transactions.</p>	<p>PR.AC-1: Identities and credentials are issued, managed, verified, revoked, and audited for authorized devices, users and processes</p> <p>ISO/IEC 27001:2013 A.9.2.1, A.9.2.2, A.9.2.3, A.9.2.4, A.9.2.6, A.9.3.1, A.9.4.2, A.9.4.3</p> <p>NIST SP 800-53 Rev. 5 AC-1, AC-2, IA-1, IA-2, IA-3, IA-4, IA-5, IA-6, IA-7, IA-8, IA-9, IA-10, IA-11</p>	<p>Most ransomware attacks are conducted through network connections, and ransomware attacks often start with credential compromise (e.g., unauthorized sharing or capture of login identity and password). Accordingly, proper credential management is an essential mitigation, although not the only mitigation needed.</p>
	<p>PR.AC-3: Remote access is managed</p> <p>ISO/IEC 27001:2013 A.6.2.1, A.6.2.2, A.11.2.6, A.13.1.1, A.13.2.1</p> <p>NIST SP 800-53 Rev. 5 AC-1, AC-17, AC-19, AC-20, SC-15</p>	<p>Most ransomware attacks are conducted remotely. Management of privileges associated with remote access can help to maintain the integrity of systems and data files to protect against insertion of malicious code and exfiltration of data. Using token-based multi-factor authentication will reduce the likelihood of account compromise.</p>
	<p>PR.AC-4: Access permissions and authorizations are managed, incorporating the principles of least privilege and separation of duties</p> <p>ISO/IEC 27001:2013 A.6.1.2, A.9.1.2, A.9.2.3, A.9.4.1, A.9.4.4, A.9.4.5</p> <p>NIST SP 800-53 Rev. 5 AC-1, AC-2, AC-3, AC-5, AC-6, AC-14, AC-16, AC-24</p>	<p>Many ransomware events occur through the compromise of user credentials or by invoking processes that should not be authorized to have privileged access to the process that is being infiltrated.</p>

Category	Subcategory and Selected Informative References	Ransomware Application
	<p>PR.AC-5: Network integrity is protected (e.g., network segregation, network segmentation)</p> <p>ISO/IEC 27001:2013 A.13.1.1, A.13.1.3, A.13.2.1, A.14.1.2, A.14.1.3</p> <p>NIST SP 800-53 Rev. 5 AC-4, AC-10, SC-7</p>	<p>Network segmentation or segregation can limit the scope of ransomware events by preventing malware from proliferating among potential target systems (e.g., moving laterally into an operational technology or control system from a business information technology network). It is critical to effectively separate IT and OT networks and regularly validate their independence. This not only reduces the risk of OT systems being compromised, but also allows low-level critical operations to continue while business IT system recovers from ransomware. This is particularly important for critical ICS functions including Safety Instrument Systems (SIS).</p>
	<p>PR.AC-6: Identities are proofed and bound to credentials and asserted in interactions</p> <p>ISO/IEC 27001:2013, A.7.1.1, A.9.2.1</p> <p>NIST SP 800-53 Rev. 5 AC-1, AC-2, AC-3, AC-16, AC-19, AC-24, IA-1, IA-2, IA-4, IA-5, IA-8, PE-2, PS-3</p>	<p>Compromised credentials are a common attack vector in ransomware events. Identities should be proofed and then bound to a credential (e.g., two-factor authentication of formally authorized individuals) to limit the likelihood that credentials are compromised or issued to an unauthorized individual.</p>
<p>Awareness and Training (PR.AT): The organization’s personnel and partners are provided cybersecurity awareness education and are trained to perform their cybersecurity-related duties and responsibilities consistent with related policies, procedures, and agreements.</p>	<p>PR.AT-1: All users are informed and trained</p> <p>ISO/IEC 27001:2013 A.7.2.2, A.12.2.1</p> <p>NIST SP 800-53 Rev. 5 AT-2, PM-13</p>	<p>Most ransomware attacks are made possible by users who engage in unsafe practices, administrators who implement insecure configurations, or developers who have insufficient security training.</p>
<p>Data Security (PR.DS): Information and records (data) are managed consistent with the organization’s risk strategy to protect the confidentiality,</p>	<p>PR.DS-4: Adequate capacity to ensure availability is maintained</p> <p>ISO/IEC 27001:2013 A.12.1.3, A.17.2.1</p> <p>NIST SP 800-53 Rev. 5 AU-4, CP-2, SC-5</p>	<p>Ensuring adequate availability of data can contribute to further reducing ransomware impacts.</p>

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integrity, and availability of information.	<p>PR.DS-5: Protections against data leaks are implemented</p> <p>ISO/IEC 27001:2013 A.12.1.3, A.17.2.1</p> <p>NIST SP 800-53 Rev. 5 AU-4, CP-2, SC-5</p>	Double extortion—demanding payment both to restore data access and to not sell or publish the data elsewhere—is very common nowadays, so data leak prevention solutions would be useful for facing current ransomware threats.
	<p>PR.DS-6: Integrity checking mechanisms are used to verify software, firmware, and information integrity</p> <p>ISO/IEC 27001:2013 A.12.2.1, A.12.5.1, A.14.1.2, A.14.1.3, A.14.2.4</p> <p>NIST SP 800-53 Rev. 5 SC-16, SI-7</p>	Integrity checking mechanisms can detect tampered software updates that can be used by criminals to insert malware that can lead to ransomware events.
	<p>PR.DS-7: The development and testing environment(s) are separate from the production environment</p> <p>ISO/IEC 27001:2013 A.12.1.4</p> <p>NIST SP 800-53 Rev. 5 CM-2</p>	Keeping development and testing environments separate from production environments can prevent ransomware from promulgating from development and testing systems into production systems.
<p>Information Protection Processes and Procedures (PR.IP): Security policies (that address purpose, scope, roles, responsibilities, management commitment, and coordination among organizational entities), processes, and procedures are maintained and used to manage protection of information systems and assets.</p>	<p>PR.IP-1: A baseline configuration of information technology/industrial control systems is created and maintained incorporating security principles (e.g. concept of least functionality)</p> <p>ISO/IEC 27001:2013 A.12.1.2, A.12.5.1, A.12.6.2, A.14.2.2, A.14.2.3, A.14.2.4</p> <p>NIST SP 800-53 Rev. 5 CM-2, CM-3, CM-4, CM-5, CM-6, CM-7, CM-9, SA-10</p>	Baselines are useful for establishing the set of functions a system needs to perform and that any deviation from that baseline could be evaluated for its cyber risk potential. Unauthorized changes to the configuration can be used as an indicator of a malicious attack, which may lead to the introduction of ransomware.
	<p>PR.IP-3: Configuration change control processes are in place</p> <p>ISO/IEC 27001:2013 A.12.1.2, A.12.5.1, A.12.6.2, A.14.2.2, A.14.2.3, A.14.2.4</p> <p>NIST SP 800-53 Rev. 5 CM-3, CM-4, SA-10</p>	Proper configuration change processes can help to enforce timely security updates to software, maintain necessary security configuration settings, and discourage replacement of code with products that contain malware or don't satisfy access management policies.

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	<p>PR.IP-4: Backups of information are conducted, maintained, and tested</p> <p>ISO/IEC 27001:2013 A.12.3.1, A.17.1.2, A.17.1.3, A.18.1.3</p> <p>NIST SP 800-53 Rev. 5 CP-4, CP-6, CP-9</p>	<p>Regular backups that are maintained and tested are essential to timely and relatively painless recovery from ransomware events. Note that backups should be secured to ensure they can't become corrupted by the ransomware or deleted by the attacker. The backups should also be stored offline to prevent ransomware from encrypting them.</p>
	<p>PR.IP-9: Response plans (Incident Response and Business Continuity) and recovery plans (Incident Recovery and Disaster Recovery) are in place and managed</p> <p>ISO/IEC 27001:2013 A.16.1.1, A.17.1.1, A.17.1.2, A.17.1.3</p> <p>NIST SP 800-53 Rev. 5 CP-2, CP-7, CP-12, CP-13, IR-7, IR-8, IR-9, PE-17</p>	<p>Response and recovery plans should include ransomware events. A copy of the response plan should be kept offline in case the incident eliminates access to soft copies held within the targeted network. Ransomware events should be prioritized appropriately during incident triage, and should be rapidly contained to prevent the ransomware's spread.</p>
	<p>PR.IP-10: Response and recovery plans are tested</p> <p>ISO/IEC 27001:2013 A.17.1.3</p> <p>NIST SP 800-53 Rev. 5 CP-4, IR-3, PM-14</p>	<p>Ransomware response and recovery plans should be tested periodically to ensure that risk and response assumptions and processes are current with respect to evolving ransomware threats. Testing of response and recovery plans should include any associated ICSes. Note that organizations as well as ransomware evolve. Processes will need to be updated and maintained to match changing organizational needs and structures as well as new ransomware types and tactics. Testing trains the people who will need to execute the plan.</p>
<p>Maintenance (PR.MA): Maintenance and repairs of industrial control and information system components are performed consistent with policies and procedures.</p>	<p>PR.MA-2: Remote maintenance of organizational assets is approved, logged, and performed in a manner that prevents unauthorized access</p> <p>ISO/IEC 27001:2013 A.11.2.4, A.15.1.1, A.15.2.1</p> <p>NIST SP 800-53 Rev. 5 MA-4</p>	<p>Remote maintenance provides an access channel into networks and technology which, if not managed, criminals may use to alter configurations in a manner that permits introduction of malware. Remote maintenance of all system components by the organization or its providers must be validated not to provide backdoor access to the OT or IT networks.</p>

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<p>Protective Technology (PR.PT): Technical security solutions are managed to ensure the security and resilience of systems and assets, consistent with related policies, procedures, and agreements.</p>	<p>PR.PT-1: Audit/log records are determined, documented, implemented, and reviewed in accordance with policy</p> <p>ISO/IEC 27001:2013 A.12.4.1, A.12.4.2, A.12.4.3, A.12.4.4, A.12.7.1</p> <p>NIST SP 800-53 Rev. 5 AU-1, AU-2, AU-3, AU-4, AU-5, AU-6, AU-7, AU-8, AU-9, AU-10, AU-12, AU-13, AU-14, AU-16</p>	<p>Availability of audit/log records can assist in detecting unexpected behaviors and support forensics response and recovery processes.</p>
	<p>PR.PT-3: The principle of least functionality is incorporated by configuring systems to provide only essential capabilities</p> <p>ISO/IEC 27001:2013 A.9.1.2</p> <p>NIST SP 800-53 Rev. 5 AC-3, CM-7</p>	<p>Maintaining the principle of least functionality may prevent lateral movement among potential target systems (e.g., moving into an operational process control system from an administrative network).</p>
<p>Detect</p>		
<p>Anomalies and Events (DE.AE): Anomalous activity is detected and the potential impact of events is understood.</p>	<p>DE.AE-3: Event data are collected and correlated from multiple sources and sensors</p> <p>ISO/IEC 27001:2013 A.12.4.1, A.16.1.7</p> <p>NIST SP 800-53 Rev. 5 AU-6, CA-7, IR-4, IR-5, IR-8, SI-4</p>	<p>Multiple sources and sensors along with a Security Information and Event Management (SIEM) solution would improve early detection of ransomware.</p>
	<p>DE.AE-4: Impact of events is determined</p> <p>ISO/IEC 27001:2013 A.16.1.4</p> <p>NIST SP 800-53 Rev. 5 CP-2, IR-4, RA-3, SI-4</p>	<p>Determining the impact of events can inform response and recovery priorities for a ransomware attack.</p>
<p>Security Continuous Monitoring (DE.CM): The information system and assets are monitored to identify cybersecurity events and verify</p>	<p>DE.CM-1: The network is monitored to detect potential cybersecurity events</p> <p>NIST SP 800-53 Rev. 5 AC-2, AU-12, CA-7, CM-3, SC-5, SC-7, SI-4</p>	<p>Network monitoring might detect intrusions before malicious code can be inserted or large volumes of information are encrypted and exfiltrated.</p>

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<p>the effectiveness of protective measures.</p>	<p>DE.CM-3: Personnel activity is monitored to detect potential cybersecurity events ISO/IEC 27001:2013 A.12.4.1, A.12.4.3 NIST SP 800-53 Rev. 5 AC-2, AU-12, AU-13, CA-7, CM-10, CM-11</p>	<p>Monitoring personnel activity might detect insider threats or insecure staff practices or compromised credentials and thwart potential ransomware events.</p>
	<p>DE.CM-4: Malicious code is detected ISO/IEC 27001:2013 A.12.2.1 NIST SP 800-53 Rev. 5 SI-3, SI-8</p>	<p>Detection may indicate that a ransomware event is occurring or may be about to occur. Also, malicious code is often not immediately executed, so there may be time between insertion of malicious code and its activation to detect it before the ransomware attack is executed.</p>
	<p>DE.CM-7: Monitoring for unauthorized personnel, connections, devices, and software is performed ISO/IEC 27001:2013 A.12.4.1, A.14.2.7, A.15.2.1 NIST SP 800-53 Rev. 5 AU-12, CA-7, CM-3, CM-8, PE-3, PE-6, PE-20, SI-4</p>	<p>Monitoring can detect many ransomware attacks before they are executed.</p>
	<p>DE.CM-8: Vulnerability scans are performed ISO/IEC 27001:2013 A.12.6.1 NIST SP 800-53 Rev. 5 RA-5</p>	<p>Vulnerabilities can be exploited during a ransomware attack. Regular scans can allow an organization to detect and mitigate most vulnerabilities before they are used to execute ransomware.</p>
<p>Detection Processes (DE.DP): Detection processes and procedures are maintained and tested to ensure awareness of anomalous events.</p>	<p>DE.DP-1: Roles and responsibilities for detection are well defined to ensure accountability ISO/IEC 27001:2013 A.6.1.1, A.7.2.2 NIST SP 800-53 Rev. 5 CA-2, CA-7, PM-14</p>	<p>Accountability encourages adherence to organizational policies and procedures to help detect ransomware attacks.</p>
	<p>DE.DP-2: Detection activities comply with all applicable requirements ISO/IEC 27001:2013 A.18.1.4, A.18.2.2, A.18.2.3 NIST SP 800-53 Rev. 5 AC-25, CA-2, CA-7, PM-14, SI-4, SR-9</p>	<p>Detection activities should be conducted in adherence to organization policy and procedures. Consistent adherence to organizational policies and procedures is necessary for detection activities to be effective against ransomware attacks.</p>

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	<p>DE.DP-3: Detection processes are tested</p> <p>ISO/IEC 27001:2013 A.14.2.8</p> <p>NIST SP 800-53 Rev. 5 CA-2, CA-7, PE-3, PM-14, SI-3, SI-4</p>	<p>Testing provides assurance of correct detection processes for ransomware-based attacks, but not that all intrusion attempts will be detected.</p>
	<p>DE.DP-4: Event detection information is communicated</p> <p>ISO/IEC 27001:2013 A.16.1.2, A.16.1.3</p> <p>NIST SP 800-53 Rev. 5 AU-6, CA-2, CA-7, RA-5, SI-4</p>	<p>Timely communication of anomalies is necessary to remediation before a ransomware attack can be launched.</p>
	<p>DE.DP-5: Detection processes are continuously improved</p> <p>ISO/IEC 27001:2013 A.16.1.6</p> <p>NIST SP 800-53 Rev. 5 CA-2, CA-7, PL-2, PM-14, RA-5, SI-4</p>	<p>The tactics used in ransomware attacks are continuously being refined, so detection processes must continuously evolve to keep up with new threats.</p>
Respond		
<p>Response Planning (RS.RP): Response processes and procedures are executed and maintained, to ensure response to detected cybersecurity incidents.</p>	<p>RS.RP-1: Response plan is executed during or after an incident</p> <p>ISO/IEC 27001:2013 A.16.1.5</p> <p>NIST SP 800-53 Rev. 5 CP-2, CP-10, IR-4, IR-8</p>	<p>Immediate execution of the response plan’s public relations and communications response components is necessary to stop any corruption or continuing exfiltration of data, stem the spread of an infection to other systems and networks, and initiate preemptive messaging.</p>
<p>Communications (RS.CO): Response activities are coordinated with internal and external stakeholders (e.g. external support from law enforcement agencies).</p>	<p>RS.CO-1: Personnel know their roles and order of operations when a response is needed</p> <p>ISO/IEC 27001:2013 A.6.1.1, A.7.2.2, A.16.1.1</p> <p>NIST SP 800-53 Rev. 5 CP-2, CP-3, IR-3, IR-8</p>	<p>Response to ransomware events include both technical and business responses. An efficient response requires all parties to understand their roles and responsibilities. Communications response roles should be formally documented in incident response and recovery plans, and should be reinforced by exercising the plans.</p>
	<p>RS.CO-2: Incidents are reported consistent with established criteria</p> <p>ISO/IEC 27001:2013 A.6.1.3, A.16.1.2</p> <p>NIST SP 800-53 Rev. 5 AU-6, IR-6, IR-8</p>	<p>Response to ransomware events include both technical and business responses. An efficient response requires pre-established criteria for reporting and adherence to that criteria during an event.</p>

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	<p>RS.CO-3: Information is shared consistent with response plans</p> <p>ISO/IEC 27001:2013 A.16.1.2, Clause 7.4, Clause 16.1.2</p> <p>NIST SP 800-53 Rev. 5 CA-2, CA-7, CP-2, IR-4, IR-8, PE-6, RA-5, SI-4</p>	<p>Information sharing priorities include stemming the spread of an infection to other systems and networks as well as preemptive messaging.</p>
	<p>RS.CO-4: Coordination with stakeholders occurs consistent with response plans</p> <p>ISO/IEC 27001:2013 Clause 7.4</p> <p>NIST SP 800-53 Rev. 5 CP-2, IR-4, IR-8</p>	<p>Coordination priorities include stemming the spread of misinformation as well as preemptive messaging. Coordination with key internal and external stakeholders is important for stemming the spread of misinformation and establishing preemptive messaging.</p>
	<p>RS.CO-5: Voluntary information sharing occurs with external stakeholders to achieve broader cybersecurity situational awareness</p> <p>ISO/IEC 27001:2013 A.6.1.4</p> <p>NIST SP 800-53 Rev. 5 PM-15, SI-5</p>	<p>Information sharing may also yield forensic benefits and reduce the impact and profitability of ransomware attacks.</p>
<p>Analysis (RS.AN): Analysis is conducted to ensure effective response and support recovery activities.</p>	<p>RS.AN-1: Notifications from detection systems are investigated</p> <p>ISO/IEC 27001:2013 A.12.4.1, A.12.4.3, A.16.1.5</p> <p>NIST SP 800-53 Rev. 5 AU-6, CA-7, IR-4, IR-5, PE-6, SI-4</p>	<p>Notifications from detection systems should be promptly and fully investigated, as these may often indicate the early stages of a ransomware attack that can therefore be preempted.</p>
	<p>RS.AN-2: The impact of the incident is understood</p> <p>ISO/IEC 27001:2013 A.16.1.4, A.16.1.6</p> <p>NIST SP 800-53 Rev. 5 CP-2, IR-4</p>	<p>Understanding the impact will shape the implementation of the recovery plan. Organizations should seek to understand the technical impact of a ransomware attack (e.g., what systems are unavailable) and then understand the resulting impact on the business (e.g., which business processes can't be delivered). This will help to ensure that the response and recovery effort is properly prioritized and resourced, and business continuity plans can be implemented in the meantime.</p>

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	<p>RS.AN-3: Forensics are performed ISO/IEC 27001:2013 A.16.1.7 NIST SP 800-53 Rev. 5 AU-7, IR-4</p>	<p>Forensics help identify the root cause to contain and eradicate the attack, including things like resetting passwords of credentials stolen by the attacker, deleting malware used by the attacker, and removing persistence mechanisms used by the attacker. Forensics can also inform the recovery process.</p>
	<p>RS.AN-5: Processes are established to receive, analyze and respond to vulnerabilities disclosed to the organization from internal and external sources (e.g. internal testing, security bulletins, or security researchers) NIST SP 800-53 Rev. 5 PM-15, SI-5</p>	<p>Analysis processes can prevent future successful attacks and the spread of the ransomware to other systems and networks. It can also help restore confidence among stakeholders.</p>
<p>Mitigation (RS.MI): Activities are performed to prevent expansion of an event, mitigate its effects, and resolve the incident.</p>	<p>RS.MI-1: Incidents are contained ISO/IEC 27001:2013 A.12.2.1, A.16.1.5 NIST SP 800-53 Rev. 5 IR-4</p>	<p>Immediate action must be taken to prevent the spread of the ransomware to other systems and networks. Containment of ransomware includes any associated ICS.</p>
	<p>RS.MI-2: Incidents are mitigated ISO/IEC 27001:2013 A.12.2.1, A.16.1.5 NIST SP 800-53 Rev. 5 IR-4</p>	<p>Immediate action must be taken to isolate the ransomware to minimize the damage to the data, to prevent the spread of infection within the network and to other systems and networks, and to minimize the impact on the mission or business.</p>
	<p>RS.MI-3: Newly identified vulnerabilities are mitigated or documented as accepted risks ISO/IEC 27001:2013 A.12.6.1 NIST SP 800-53 Rev. 5 IR-4</p>	<p>Vulnerability management is necessary to minimize the probability of successful ransomware attacks. If vulnerabilities cannot be patched or mitigated, documenting this risk allows for its inclusion in future decision making and provides transparency for stakeholders that might be impacted by ransomware events.</p>
<p>Improvements (RS.IM): Organizational response activities are improved by incorporating lessons learned from current and previous detection/response activities.</p>	<p>RS.IM-1: Response plans incorporate lessons learned ISO/IEC 27001:2013 A.16.1.6, Clause 10 NIST SP 800-53 Rev. 5 CP-2, IR-4, IR-8</p>	<p>This is necessary to minimize the probability of future successful ransomware attacks and to restore confidence among stakeholders.</p>

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	<p>RS.IM-2: Response strategies are updated</p> <p>ISO/IEC 27001:2013 A.16.1.6, Clause 10</p> <p>NIST SP 800-53 Rev 5 CP-2, IR-4, IR-8</p>	<p>This is necessary to minimize the probability of future successful ransomware attacks and to restore confidence among stakeholders.</p>
Recover		
<p>Recovery Planning (RC.RP): Recovery processes and procedures are executed and maintained to ensure restoration of systems or assets affected by cybersecurity incidents.</p>	<p>RC.RP-1: Recovery plan is executed during or after a cybersecurity incident</p> <p>ISO/IEC 27001:2013 A.16.1.5</p> <p>NIST SP 800-53 Rev. 5 CP-10, IR-4, IR-8</p>	<p>Immediate initiation of the recovery plan after the root cause has been identified can cut losses.</p>
<p>Improvements (RC.IM): Recovery planning and processes are improved by incorporating lessons learned into future activities.</p>	<p>RC.IM-1: Recovery plans incorporate lessons learned</p> <p>ISO/IEC 27001:2013 A.16.1.6, Clause 10</p> <p>NIST SP 800-53 Rev 5 CP-2, IR-4, IR-8</p>	<p>This is necessary to minimize the probability of future successful ransomware attacks and to restore confidence among stakeholders.</p>
	<p>RC.IM-2: Recovery strategies are updated</p> <p>ISO/IEC 27001:2013 A.16.1.6, Clause 10</p> <p>NIST SP 800-53 Rev. 5 CP-2, IR-4, IR-8</p>	<p>This is needed to maintain the effectiveness of contingency planning for future ransomware attacks.</p>
<p>Communications (RC.CO): Restoration activities are coordinated with internal and external parties (e.g. coordinating centers, Internet Service Providers, owners of attacking systems, victims, other CSIRTs, and vendors).</p>	<p>RC.CO-1: Public relations are managed</p> <p>ISO/IEC 27001:2013 A.6.1.4, Clause 7.4</p>	<p>This is necessary to minimize the business impact by being open and transparent and to restore confidence among stakeholders.</p>
	<p>RC.CO-2: Reputation is repaired after an incident</p> <p>ISO/IEC 27001:2013 Clause 7.4</p>	<p>Repair is necessary to minimize the business impact and restore confidence among stakeholders.</p>
	<p>RC.CO-3: Recovery activities are communicated to internal and external stakeholders as well as executive and management teams</p> <p>ISO/IEC 27001:2013 Clause 7.4</p> <p>NIST SP 800-53 Rev. 5 CP-2, IR-4</p>	<p>Communication of recovery activity helps to minimize the business impact and restore confidence among stakeholders.</p>

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