



Rational software

Understanding Web application security challenges.

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As businesses grow increasingly dependent upon Web applications, these complex entities grow more difficult to secure. Most companies equip their Web sites with firewalls, Secure Sockets Layer (SSL), and network and host security, but the majority of attacks are on applications themselves – and these technologies cannot prevent them.

This paper explains what you can do to help protect your organization, and it discusses an approach for improving your organization’s Web application security.

What makes Web applications vulnerable?

In the Open System Interconnection (OSI) reference model,¹ every message travels through seven network protocol layers. The application layer at the top includes HTTP and other protocols that transport messages with content, including HTML, XML, Simple Object Access Protocol (SOAP) and Web services.

This paper focuses on application attacks carried by HTTP—an approach that traditional firewalls do not effectively combat. Many hackers know how to make HTTP requests look benign at the network level, but the data within them is potentially harmful. HTTP-carried attacks can allow unrestricted access to databases, execute arbitrary system commands and even alter Web site content.

Highlights

To protect Web applications against attacks, enterprises should employ generic preventive approaches as well as targeted technologies.

Without governance measures to manage security testing throughout the application delivery lifecycle, software teams can expose applications to HTTP-carried attacks as a result of:

- *Analysts and architects viewing security as a network or IT issue, so that only a few organization security experts are aware of application-level threats.*
- *Teams expressing application security requirements as vague expectations or negative statements (e.g., You will not allow unprotected entry points) that make test construction difficult.*
- *Testing application security late in the lifecycle—and only for hacking attempts.*

Typical Web application attacks

A Web application’s specific vulnerabilities should dictate the technology you use to defend it. Figure 1 shows many points within a system that might require protection. Often, it is best to employ generic countermeasure concepts first to help ensure that you choose the technology best suited to your needs rather than one that claims to counter the latest hacking technique.

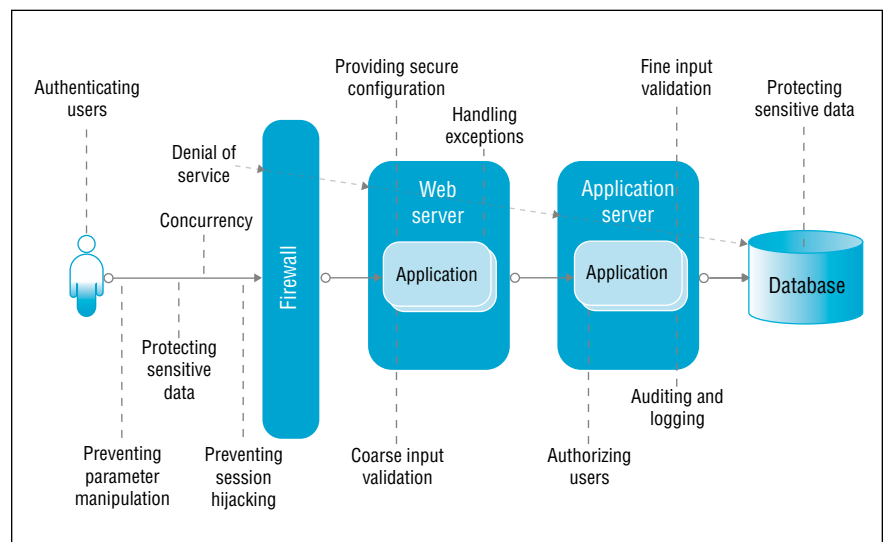


Figure 1: Web application security concerns

Highlights

Enterprises can employ multiple preventive measures against Web application breaches caused by impersonation, tampering and repudiation.

Table 1 shows common threats and preventive measures. However, specific threats to your application may be different.

Table 1: Common types of Web application attacks

Description	Common causes	Preventive measures
Impersonation		
Typing a different user's credentials or changing a cookie or parameter to impersonate a user or pretend that the cookie originates from a different server	<ul style="list-style-type: none"> Using communications-based authentication to allow access to any user's data Using unencrypted credentials that can be captured and reused Storing credentials in cookies or parameters Using unproven authentication methods or authentication from the wrong trust domain Not permitting client software to authenticate the host 	Use stringent authentication and protection for credential information using: <ul style="list-style-type: none"> Operating system (OS)-supplied frameworks Encrypted tokens such as session cookies Digital signatures
Tampering		
Changing or deleting a resource without authorization (e.g., defacing a Web site, altering data in transit)	<ul style="list-style-type: none"> Trusting data sources without validation Sanitizing input to prevent execution of unwanted code Running with escalated privileges Leaving sensitive data unencrypted 	<ul style="list-style-type: none"> Use OS security to lock down files, directories and other resources Validate your data Hash and sign data in transit (by using SSL or IPsec, for example)
Repudiation		
Attempting to destroy, hide or alter evidence that an action occurred (e.g., deleting logs, impersonating a user to request changes)	<ul style="list-style-type: none"> Using a weak or missing authorization and authentication process Logging improperly Allowing sensitive information on unsecured communication channels 	<ul style="list-style-type: none"> Use stringent authentication, transaction logs and digital signatures Audit

Highlights

Preventive measures can also be taken to ward off attacks that attempt to access sensitive information and overwhelm server resources.

Description	Common causes	Preventive measures
Information disclosure		
Revealing personally identifiable information (PII) such as passwords and credit card data, plus information about the application source and/or its host machines	<ul style="list-style-type: none"> • Allowing an authenticated user access to other users' data • Allowing sensitive information on unsecured communication channels • Selecting poor encryption algorithms and keys 	<ul style="list-style-type: none"> • Store PII on a session (transitory) rather than permanent basis • Use hashing and encryption for sensitive data whenever possible • Match user data to user authentication
Denial of service (DoS)		
<ul style="list-style-type: none"> • Flooding—sending many messages or simultaneous requests to overwhelm a server • Lockout—sending a surge of requests to force a slow server response by consuming resources or causing the application to restart 	<ul style="list-style-type: none"> • Placing too many applications on a single server or placing conflicting applications on the same server • Neglecting to conduct comprehensive unit testing 	<ul style="list-style-type: none"> • Filter packets using a firewall • Use a load balancer to control the number of requests from a single source • Use asynchronous protocols to handle processing-intensive requests and error recovery
Elevation of privilege		
Exceeding normal access privileges to gain administrative rights or access to confidential files	<ul style="list-style-type: none"> • Running Web server processes as "root" or "administrator" • Using coding errors to allow buffer overflows and elevate application into a debug state 	<ul style="list-style-type: none"> • Use fewest-privileges context whenever possible • Use type-safe languages and compiler options to prevent or control buffer overflows

Highlights

By applying several basic practices, software development teams can help prevent common Web application security violations and reduce remediation costs.

Basic guidelines for providing security for Web applications

By using security-specific processes to create applications, software development teams can guard against security violations like those shown in table 1. Specifically, you can apply several basic guidelines to existing applications and new or reengineered applications throughout your process to help achieve greater security and lower remediation costs, such as:

- **Discover and create baselines:** *Conduct a complete inventory of applications and systems, including technical information (e.g., Internet Protocol [IP], Domain Name System [DNS], OS used), plus business information (e.g., Who authorized the deployment? Who should be notified if the application fails?). Next, scan your Web infrastructure for common vulnerabilities and exploits. Check list serves and bug tracking sites for any known attacks on your OS, Web server and other third-party products. Prior to loading your application on a server, ensure that the server has been patched, hardened and scanned. Then, scan your application for vulnerabilities to known attacks, looking at HTTP requests and other opportunities for data manipulation. And, finally, test application authentication and user-rights management features and terminate unknown services.*
- **Assess and assign risks:** *Rate applications and systems for risk—focusing on data stores, access control, user provisioning and rights management. Prioritize application vulnerabilities discovered during assessments. Review organizational, industry and governmental policy compliance. And identify both acceptable and unacceptable operations.*
- **Shield your application and control damage:** *Stay on top of known security threats and apply available patches to your applications and/or infrastructure. If you cannot fix a security issue, use an application firewall, restrict access, disable the application or relocate it to minimize exposure.*
- **Continuously monitor and review:** *Schedule assessments as part of your documented change management process. When you close one out, immediately initiate a new discovery stage.*

Highlights

The Rational Unified Process delivers a comprehensive, iterative framework for developing Web applications based on industry best practices.

Understanding the Web application lifecycle

Shown in figure 2, the IBM Rational® Unified Process®, or IBM RUP®, solution delivers a widely used iterative process framework for developing Web applications based on industry best practices. The core phases of the framework (which may require two or more iterations to complete) are:

- ***Inception:*** Establish a business case, scope and operational vision. Then, create an initial use-case model, project plan, risk assessment and project description, including core requirements, security requirements (such as clarification of security compliance and policies), constraints, features and prototype candidate architectures.
- ***Elaboration:*** Refine your vision, address architecturally significant scenarios to establish a baseline architecture, and detail the use-case model. Then, create and test one or more prototypes to mitigate technical risks.
- ***Construction:*** Develop detailed designs for specific components and their interactions with other applications, continuously tracking against requirements. Generate code and test components for performance, reliability and security—while tracking and resolving issues—and integrate tested components into a first release.
- ***Transition:*** Deploy the application, train users and conduct beta testing to verify security and performance and validate the application against requirements. Continuously monitor performance, reliability and security as the application undergoes changes.

Highlights

Each of the four phases of the Rational Unified Process— inception, elaboration, construction and transition— spans multiple disciplines and may require multiple iterations.

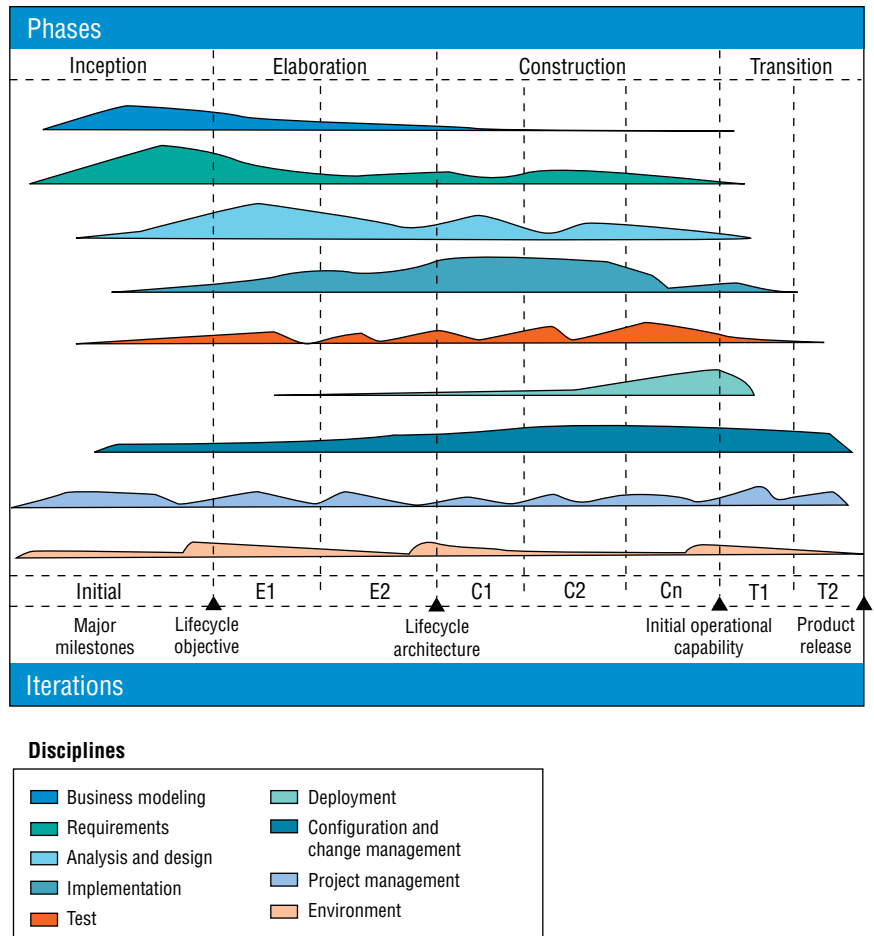


Figure 2: Phases, disciplines and milestones in the IBM Rational Unified Process

Highlights

Enterprises can use the RUP framework throughout the Web application lifecycle to proactively discover and fix vulnerabilities before they become more costly to remedy.

When marketplace pressures motivate organizations to push Web applications through development phases without adequate security testing, serious vulnerabilities can place the business at risk.

Security testing throughout the application lifecycle

By applying the RUP framework guidelines early in the Web application lifecycle, you can discover and fix vulnerabilities when it is most cost-effective to do so. As applications move through the development and delivery process, errors can quickly become more complicated and expensive to remedy.

For example, teams that gather requirements during the inception and elaboration phases may not understand common Web application security threats and, therefore, neglect to specify requirements to prevent them. In construction, without clear application-level security requirements, coders might reuse flawed code or generate new code using a security-unaware integrated development environment (IDE) wizard, and then fail to properly validate data or correctly interpret security features in the application framework. In the transition phase, organizations often entrust Web application review to a few security experts who attempt to catch vulnerabilities before deployment, leading to process bottlenecks. Moreover, flaws that experts discover at this stage are likely to be expensive and time-consuming to fix.

As the figures in table 2² show, fixing a design error after a Web application is available costs approximately 30 times more than addressing it during design. And these estimates do not even factor in costs such as losses in marketshare, reputation or customer satisfaction.

Highlights

Fixing a design error after a Web application has been deployed costs approximately 30 times more than addressing it during design.

To help prevent expensive fixes, enterprises can build application security testing approaches into their development and delivery process.

Table 2: Relative cost of error fixes, based on time of discovery

Type of error	Design	Coding	Integration	Beta	Deployment
Design	1x	5x	10x	15x	30x
Coding		1x	10x	20x	30x
Integration			1x	10x	20x

Considering the right testing approaches

To help prevent expensive fixes, organizations need to build application security testing approaches, such as those shown in figure 3, into their development and delivery process alongside other quality management measures.

Table 3: Web application security testing approaches

Description	Pros	Cons
Manual		
Penetration or security acceptance testing by a small set of security experts using known tools and scripts	Generates well-targeted tests for specific application functions	<ul style="list-style-type: none"> Limits testing to experts, which may lead to bottlenecks Can lead to a high error rate and recurring costs Limits application coverage due to time constraints
Automated		
Typically built in one of two ways: <ul style="list-style-type: none"> Bottom up— Specific tests for individual functions, built by the code developer Top down— QA teams build tests from an end-user perspective 	Offsets expenses with improvements in quality, reduced effort for acceptance testing and iterative development processes	Requires greater overhead to create and maintain than manual testing requires

Highlights

Black-box and white-box testing approaches can leverage commercial tools, while gray-box testing calls for a uniquely defined application framework.

Description	Pros	Cons
Black box or system		
Looks only at system input and output, modifying normal user input to make the application behave in unintentional ways	Uses well-established automated test tools that require minimal application knowledge to use	<ul style="list-style-type: none"> • Possible only when all application components are ready for testing (late staging or production environment) • May produce transactions that are difficult to ignore or reverse through user input mutations • Can obscure flaws by limiting visibility into the application
White box or source		
Assesses individual components for specific functional errors, often in combination with code scanning tools and peer reviews	Uses tools that have established integrations with developer IDEs, enabling the well-defined discovery of flaws in tested functions	<ul style="list-style-type: none"> • Does not uncover requirement and design flaws • May not uncover vulnerabilities to attacks involving multiple components or specific timing not covered by unit testing • Assumes that coders are aware of needed security tests
Gray box (using an application-defined framework)		
Combines black- and white-box testing to create tests unavailable via commercial tools	<ul style="list-style-type: none"> • Provides the most comprehensive method by combining system- and unit-level testing • Provides state- and timing-based tests, and uses agents or proxies • Integrates the framework into the application to monitor data flows and conduct audits without affecting production data 	<ul style="list-style-type: none"> • Requires that a framework be specified during the inception phase and design activities • May require as much effort to build the test framework as to build the application

Highlights

With help from a third-party consultant, enterprises can employ training, communication and monitoring activities to improve security awareness.

Four strategic best practices for protecting Web applications

To address security-related issues as they pertain to Web applications, organizations can employ four broad, strategic best practices.

1. Increase security awareness

This encompasses training, communication and monitoring activities, preferably in cooperation with a consultant.

Training

Provide annual security training for all application team members: developers, quality assurance professionals, analysts and managers. Describe current attacks and a recommended remediation process. Discuss the organization's current security practices. Require developers to attend training to master the framework's prebuilt security functions. Use vendor-supplied material to train users on commercial off-the-shelf (COTS) security tools, and include security training in the project plan.

Highlights

To allocate limited security resources, enterprises can prioritize risk and liability issues.

Communication

Collect security best practices from across all teams and lines of business in your organization. Distribute them in a brief document and make them easily accessible on an intranet. Get your IT security experts involved early and develop processes that include peer mentoring. Assign a liaison from the security team to every application team to help with application requirements and design.

Monitoring

Ensure that managers stay aware of the security status of every application in production. Track security errors through your normal defect tracking and reporting infrastructures to give all parties visibility.

2. Categorize application risk and liability

Every organization has limited resources and must manage priorities. To help set security priorities, you can:

- *Define risk thresholds and specify when the security team will terminate application services.*
- *Categorize applications by risk factors (e.g., Internet or intranet vs. extranet).*
- *Generate periodic risk reports based on security scans that match issues to defined risk thresholds.*
- *Maintain a database that can analyze and rank applications by risk, so you can inform teams of how their applications stack up against deployed systems.*

Highlights

To help govern development and delivery processes and to manage compliance, enterprises must establish a security program and set a zero-tolerance enforcement policy.

By integrating security testing throughout the software delivery lifecycle, enterprises can improve application design, development and testing.

3. Set a zero-tolerance enforcement policy

An essential part of governing the development and delivery process, a well-defined security policy can reduce your risk of deploying vulnerable or noncompliant applications. During inception, determine which tests the application must pass before deployment, and inform all team members. Formally review requirements and design specifications for security issues during inception and elaboration—before coding begins. Allow security exceptions only during design and only with appropriate executive-level approval.

4. Integrate security testing throughout the development and delivery process

By integrating security testing throughout the delivery lifecycle, you can have significant positive effects on the design, development and testing of applications. You should base functional requirements on security tests your application must pass, making sure that your test framework:

- *Uses automated tools and can run at any point during the development and delivery process.*
- *Includes unit and system tests as well as application-level tests.*
- *Allows for audit testing in production.*
- *Includes event-driven testing.*
- *Uses an agile development methodology for security procedures.*
- *Can be run during coding, testing, integration and production activities.*

Highlights

During the inception phase, enterprises can structure requirements that address multiple application-level security concerns.

Table 4 suggests ways to structure requirements that address a spectrum of application-level security concerns during the inception phase.

Table 4: Inception—defining security requirements

Application concern	Considerations for constraints/requirements
Application environment	<ul style="list-style-type: none"> • Identify, understand and accommodate your organization's security policies • Recognize infrastructure restrictions, such as services, protocols and firewalls • Identify hosting environment restrictions (e.g., virtual private network [VPN], sandboxing) • Define the application deployment configuration • Define network domain structures, clustering and remote application servers • Identify database servers • Identify which secure communication features the environment supports • Address Web farm considerations (including session state management, machine-specific encryption keys, SSL, certificate deployment issues and roaming profiles). If the application uses SSL, identify the certificate authority (CA) and types to be used • Address required scalability and performance criteria • Investigate the code trust level
Input/data validation and authentication	<ul style="list-style-type: none"> • Assume that all client input is potentially dangerous • Identify all trust boundaries for identity accounts and/or resources that cross those boundaries • Define account management policies and a least-privileged accounts policy • Specify requirements for strong passwords and enforcement measures • Encrypt user credentials using SSL, VPN, IPsec or the like, and ensure that authentication information (e.g., tokens, cookies, tickets) will not be transmitted over nonencrypted connections • Ensure that minimal error information will be returned to the client in the event of authentication failure
Session management	<ul style="list-style-type: none"> • Limit the session lifetime • Protect the session state from unauthorized access • Ensure that session identifiers are not passed in query strings

Highlights

Coding and data validation measures can offer significant benefits during the elaboration and construction phases of the software development and delivery process.

Table 5 details activities during elaboration and construction that align with defined security requirements.

Table 5: Elaboration and construction—modeling and coding for security measures

Security consideration	Elaboration	Construction
Coding practices		<ul style="list-style-type: none"> Do not reduce or change default security settings without testing to understand the implications Do not rely on obscurity to protect secrets; avoid putting them into code Do not expose unneeded information Test often for security errors and fix them early Direct failures to safe mode; do not display stack traces or leave sensitive data unprotected
Input/data validation	<ul style="list-style-type: none"> Treat all client input as suspect; validate it on a server controlled by the application, even if you also validate on the client side Address potential structured query language (SQL) injection and cross-site scripting issues Identify entry points and trust boundaries 	<ul style="list-style-type: none"> Validate all input parameters, including form fields, query strings, cookies and HTTP headers Accept only known good input and reject known bad input Validate data by type, length, format and range Ensure output containing input is properly HTML encoded or URL encoded

Highlights	Security consideration	Elaboration	Construction
<p><i>By improving authentication, authorization and configuration management practices, enterprises can address security issues during the elaboration and construction phases.</i></p>	Authentication	<ul style="list-style-type: none"> • Separate access to public and restricted areas, ID accounts and resources that cross trust boundaries • Identify accounts that service or administer the application • Ensure that user credentials are encrypted and stored securely • Specify the ID for authenticating with the database 	<ul style="list-style-type: none"> • Store passwords as digests • Return minimal error information with authentication failures • Do not use HTTP header information for security purposes
	Authorization	<ul style="list-style-type: none"> • Specify all IDs and resources that each can access • Identify privileged resources and privileged operations • Separate privileges for different roles (i.e., build in authorization granularity) • Identify code-access security requirements 	<ul style="list-style-type: none"> • Restrict database logins to access-specific stored procedures; do not allow direct access to tables • Restrict access to system-level resources
	Configuration management	<ul style="list-style-type: none"> • Protect administration interfaces and remote administration channels with strong authentication and authorization capabilities • Provide role-based administrator privileges • Use least-privileged process and service accounts 	<ul style="list-style-type: none"> • Secure configuration stores • Do not hold confidential data in plain text configuration files

Highlights	Security consideration	Elaboration	Construction
<p><i>Session protection, exception management, and auditing and logging can also provide opportunities for improving the security of Web applications.</i></p>	<p>Sensitive data and session protection</p>	<ul style="list-style-type: none"> • Avoid storing secret data; identify encryption algorithms and key sizes for any that you must retain • Identify protection mechanisms for sensitive data sent over the network • Use SSL to protect authentication cookies and encrypt their contents • Identify a methodology to help secure encryption keys and use only known cryptography libraries and services • Identify proper cryptographic algorithms and key size 	<ul style="list-style-type: none"> • Do not store sensitive data in code • Do not store database connections, passwords or keys in plain text • Do not log sensitive data in clear text • Do not store sensitive data in cookies or transmit it as a query string or form field
	<p>Exception management</p>	<ul style="list-style-type: none"> • Define a standard approach to structured exception handling • Specify generic error messages to be returned to the client 	<ul style="list-style-type: none"> • Disclose minimal information following an exception
	<p>Auditing and logging</p>	<ul style="list-style-type: none"> • Identify key parameters for auditing and logging • Specify storage, security and analysis features for log files • Specify how to flow caller identity across multiple tiers— at the OS or application level 	<ul style="list-style-type: none"> • Do not log sensitive data

Highlights

Through event-driven testing, enterprises can integrate security tests right into the application being developed.

In addition to making security an integral part of the application development and delivery process, you can integrate security tests right into the application you are building to conduct event-driven testing. In this case, where a user makes a request and the application responds, the test compares the response to an expected or previously stored response to determine whether the system is operating properly. For example, in figure 3, an application uses a database as its back-end component. The tester inserts a spy proxy and a verifier into the request flow, telling the verifier what a normal request should be so that the verifier can compare it with the spy proxy's actual request.

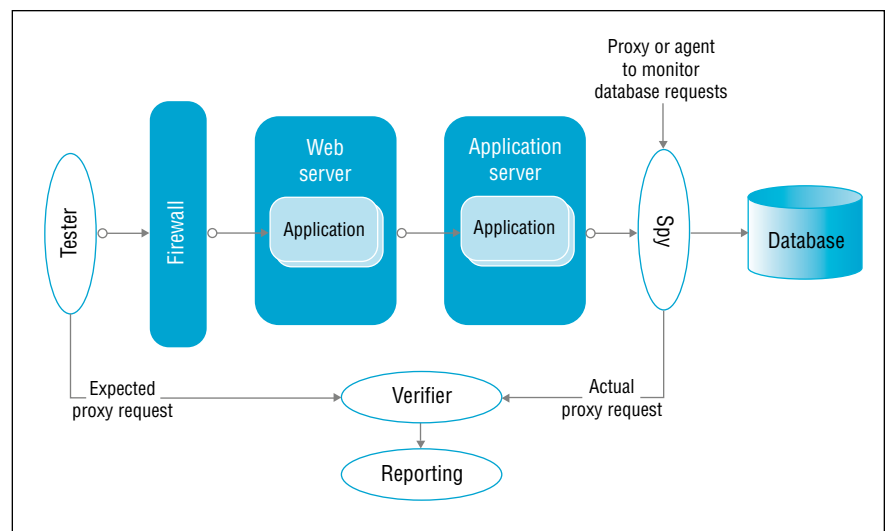


Figure 3: Security testing a Web application with a back-end database



Any service, e-mail, XML or legacy service can serve as a back end. How you implement the code to review requests depends on the application architecture. For example, your spy component might be a mock data access object, a proxy or a class that inherits from the front-end service. You can also create code specifically for a test that you insert into the data stream to supply reporting data needed by the testing framework. Coordinating the testing objects gives you comprehensive, fine-grained control of a range of tests. You can perform these tests using either black-box or white-box testing, improving your chances of catching security problems early in the lifecycle – before they pose a serious business risk.

For more information

To learn more about the IBM Rational methodology and how you can create security-rich Web applications using IBM Rational automated lifecycle security tools, please contact your IBM representative or visit:

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1 International Organization for Standardization;
www.iso.org.

2 www.nist.gov/director/prog-ofc/report02-3.pdf.